2002P14178US//1140668-0061

THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

: Hubert Bellm, et al.

Serial No.

: 10/804,885

Filed

: March 18, 2004

For

: MONITORING PROCEDURE FOR A CONTROL OF AN

**INJECTION-MOLDING PROCESS** 

Examiner

: To Be Assigned

Group Art Unit

: To Be Assigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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## INFORMATION DISCLOSURE STATEMENT BEFORE MAILING OF FIRST OFFICE ACTION

Sir:

Applicants submit this communication and the attached Form PTO/SB/08A in compliance with 37 C.F.R. §§1.56, 1.97 and 1.98.

Attached to this communication is Form PTO/SB/08A identifying references for consideration during examination of the application. Applicants do not concede that the references are prior art or that they are material. Consideration of the documents cited on the attached Form

. SN 10/804,885, filed March 18, 2004

Attorney Docket No.: 2002P14178US//1140668-0061

Page 2

PTO/SB/08A and PTO/SB/08B are respectfully requested. Copies of the cited references are

attached with this communication.

Applicants also enclose English language Abstracts for the German Patent Applications

DE 44 29 304 C1; DE 43 38 598 A1; and DE 41 27 553 A1. In addition, Applicants enclose a copy

of the examination report in counterpart German application number 103 12 256.7-51, along with a

translation of the German Examiner's remarks.

TIME OF TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed before the mailing date of the first

Office Action on the merits according to 37 C.F.R. §1.97(b)(3). Therefore, no fee is due in

connection with this filing. However, should any fee be deemed necessary in connection with this

filing, the Assistant Commissioner is hereby authorized to charge the fee to Deposit Account

No. 23-1703.

Dated: May 20, 2004

Respectfully submitted,

cott T. Weingaertner

Reg. No. 37,756

Attorney for Applicants

Customer No. 007470

White & Case LLP

Direct Dial: (212) 819-8404

**Enclosures** 

NEWYORK 3949768 (2K)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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	Substitute for form	1440/DTO		Complete if Known		
	Substitute for form	1449/110		Application Number	10/804,885	
-		TION DI	SCI OSIDE	Filing Date	March 18, 2004	
	INFURIMA	TION DI	SCLOSURE	First Named Inventor	Hubert Bellm	
	STATEMI	ENT BY A	PPLICANT	Art Unit	To Be Assigned	
	(Use as	many sheets as r	iecessary)	Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	1140668-0061//2002P14178US	

		Ū	S. PATENT DOCU	JMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (ifknown)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FOREIG	N PATENT DO	CUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		DE 44 29 304 C1	06-14-1995	Procontrol AG (CH)		X
		DE 43 38 598 A1	05-24-1995	Dillie Jean (FR)		X
		DE 41 27 553 A1	04-02-1992	Suganuma Masashi (JP)		X
		EP 1 175 989 A2	01-30-2002	FANUC LTD.		
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08B (08-03)

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Su	ubstitute for form 1449/PTO			Complete if Known		
<sub>T</sub>	NFORMATION D	196	TOSTIDE	Application Number	10/804,885	
	STATEMENT BY			Filing Date	March 18, 2004	
	(Use as many sheets a	s neces	sary)	First Named Inventor	Hubert Bellm	
				Art Unit	To Be Assigned	
				Examiner Name	To Be Assigned	
Sheet	2	of	2	Attorney Docket Number	1140668-0061//2002P14178US	

NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		Examination Report in counterpart German application number 103 12 256.7-51, dated 12 February 2004.	X				
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Examiner		Date	
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE erwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/804,885 TRANSMITTAL Filing Date March 18, 2004 **FORM** First Named Inventor <u>Hubert Bellm</u> Art Unit To Be Assigned (to be used for all correspondence after initial filing) **Examiner Name** To Be Assigned Attorney Docket Number Total Number of Pages in This Submission 1140668-0061//2002P14178US **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Request for Refund Express Abandonment Request

CD, Number of CD(s)

-References (4)

-PTO/SB/08A

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Scott T. Weingaertner, Reg. No. 37,756

Signature Date May 20, 2004

-Certified Copy of German Patent Application

No.: 103 12 256.7, filed March 19, 2003

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Information Disclosure Statement

Response to Missing Parts

under 37 CFR 1.52 or 1.53

Certified Copy of Priority

Incomplete Application

Response to Missing Parts/

Document(s)

Typed or printed name

X

Date May 20, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.